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AF/1636

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

020728.0101PTUS

PATENT

Applicant : Gopal) Group Art Unit: 1636
Reissue Appl. : 09/404,979)
Filed : September 22, 1999) **Information Disclosure**
For : PEPTIDE-MEDIATED) **Statement**
GENE TRANSFER) **Under 37 C.F.R. § 1.98**
Examiner: McKelvey, T.)

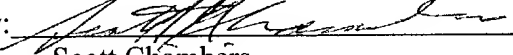
TRANSMITTAL LETTER

Honorable Commissioner for Patents
Washington, D.C. 20231

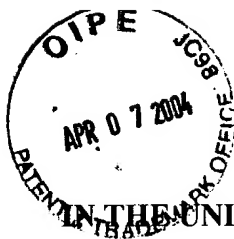
Dear Sir:

Enclosed for filing in the above-identified application are:

- (x) An Information Disclosure statement.
- (x) A list of U.S. patents submitted for consideration to the Office.
- (x) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment of fees associated with this communication to Deposit Account No. 50-2228.

By: 
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Registration No. 37,573

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

020728.0101PTUS

PATENT

| | | | |
|------------------------|---|--------------------|---------------------------------|
| Applicant | : | Gopal |) Group Art Unit: 1636 |
| | | |) |
| Reissue Appl. | : | 09/404,979 |) |
| | | |) |
| Filed | : | September 22, 1999 |) Information Disclosure |
| | | |) Statement |
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| | | GENE TRANSFER |) |
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| Examiner: McKelvey, T. | | |) |

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

GENAPP.002RA (020728.0101PTUS) PATENT

Applicant : Gopal) Group Art Unit: 1636
Reissue Appl. : 09/404,979)
Filed : September 22, 1999) **Information Disclosure**
For : PEPTIDE-MEDIATED) **Statement**
GENE TRANSFER) **Under 37 C.F.R. § 1.98**
Examiner: McKelvey, T.)

INFORMATION DISCLOSURE STATEMENT

Honorable Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Enclosed is a listing of sixty-six U.S. patents submitted for consideration to the Office in the above-identified application. Applicant understands that the Examiner is not to rely on this submission as an admission that these references are material to the patentability of the above-identified application. Instead, Applicant understands that the PTO will make its own full and independent review of the cited references. Applicant comments on the submitted references below.

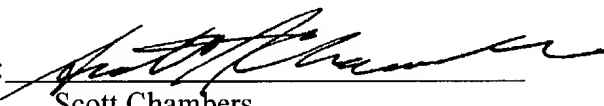
The Woo patent (U.S. Pat. No. 5,994,109) was submitted in the IDS of 9/00. Applicant hereby includes other patents that are related to Woo by a common application, reference, or inventor for review. These additional patents are grouped together on the attached form, starting with U.S. Pat. No. 6,217,860.

Applicant hereby includes other patents that are related to Hunt et al. by a common application, reference, or inventor for review. These additional patents are grouped together on the attached form, starting with U.S. Pat. No. 6,410,721.

Applicant hereby includes other patents that are related to Usman et al. by a common application, reference, or inventor for review. These additional patents are grouped together on the attached form, starting with U.S. Pat. No. 6,555,668.

Applicant hereby includes other patents that are related to Kool et al. by a common application, reference, or inventor for review. These additional patents are grouped together on the attached form, starting with U.S. Pat. No. 5,683,874.

Applicant hereby includes other patents that are related to Debs et al. by a common application, reference, or inventor for review. These additional patents are grouped together on the attached form, starting with U.S. Pat. No. 6,627,615.

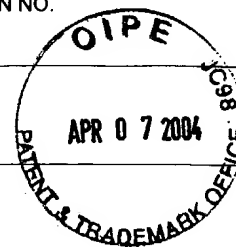
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FORM PTO-1449

US DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY DOCKET NO.
020728.0101PTUSAPPLICATION NO.
09/404,979INFORMATION DISCLOSURE STATEMENT
BY APPLICANTAPPLICANT
T.Venkat Gopal

(USE SEVERAL SHEETS IF NECESSARY)

FILING DATE
September 22, 1999GROUP
1636

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE (IF APPROPRIATE) |
|---------------------|----|-----------------|-----------|----------------------|-------|----------|---------------------------------|
| | 1 | 6,217,860 | 4-17-01 | Woo et al. | 424 | 93.2 | 9-24-99 |
| | 2 | 5,631,236 | 5-20-97 | Woo et al. | 514 | 44 | 8-26-93 |
| | 3 | 6,410,721 | 6-25-02 | Hunt et al. | 536 | 24.1 | 1-5-99 |
| | 4 | 5,859,226 | 1-12-99 | Hunt et al. | 536 | 24.1 | 7-27-94 |
| | 5 | 6,555,668 | 4-29-03 | Usman et al. | 536 | 23.1 | 1-8-02 |
| | 6 | 6,362,323 | 4-26-02 | Usman et al. | 536 | 23.1 | 7-31-00 |
| | 7 | 6,140,491 | 10-31-00 | Usman et al. | 536 | 24.5 | 6-2-95 |
| | 8 | 6,117,657 | 9-12-00 | Usman et al. | 435 | 91.31 | 10-29-98 |
| | 9 | 5,891,683 | 4-6-99 | Usman et al. | 435 | 91.31 | 12-23-94 |
| | 10 | 5,683,874 | 11-4-97 | Kool et al. | 435 | 6 | 3-30-95 |
| | 11 | 5,514,546 | 5-7-96 | Kool et al. | 435 | 6 | 9-1-93 |
| | 12 | 5,808,036 | 9-15-98 | Kool et al. | 536 | 24.3 | 9-15-98 |
| | 13 | 5,872,105 | 2-16-99 | Kool et al. | 514 | 44 | 6-6-95 |
| | 14 | 6,627,615 | 9-30-03 | Debs et al. | 514 | 44 | 8-11-98 |
| | 15 | 6,468,798 | 10-22-02 | Debs et al. | 435 | 458 | 1-14-98 |
| | 16 | 5,756,353 | 5-26-98 | Debs et al. | 514 | 44 | 6-7-95 |
| | 17 | 5,858,784 | 1-12-99 | Debs et al. | 435 | 375 | 11-5-92 |
| | 18 | 5,827,703 | 10-27-703 | Debs et al. | 514 | 44 | 5-19-94 |
| | 19 | 5,714,166 | 2-3-98 | Tomalia et al. | 424 | 486 | 3-7-95 |
| | 20 | 5,962,427 | 10-5-99 | Goldstein et al. | 514 | 44 | 4-12-96 |
| | 21 | 5,639,275 | 6-17-97 | Baetge et al. | 604 | 891.1 | 5-25-95 |
| | 22 | 5,908,623 | 6-1-99 | Baetge et al. | 424 | 93.21 | 5-25-95 |
| | 23 | 5,635,380 | 6-3-97 | Nafilan et al. | 435 | 456 | 1-18-94 |
| | 24 | 5,631,237 | 5-20-97 | Dzau et al. | 514 | 44 | 5-10-94 |
| | 25 | 5,821,234 | 10-13-98 | Dzau et al. | 514 | 44 | 8-20-93 |
| | 26 | 6,710,035 | 3-23-04 | Felgner et al. | 514 | 44 | 12-2-99 |
| | 27 | 6,020,202 | 2-1-00 | Jessee | 435 | 458 | 11-18-96 |
| | 28 | 4,897,355 | 1-30-90 | Eppstein | 424 | 450 | 10-29-87 |
| | 29 | 6,310,034 | 10-30-01 | Woychik et al. | 514 | 2 | 3-3-98 |
| | 30 | 6,514,747 | 2-4-03 | Woychik et al. | 435 | 252.3 | 2-12.01 |
| | 31 | 6,303,582 | 10-16-01 | Eljamal, et al. | 514 | 44 | 10-26-99 |
| | 32 | 6,133,024 | 10-17-00 | Helene et al. | 435 | 320.1 | 5-7-98 |
| | 33 | 6,030,822 | 2-29-00 | Lechiner et al. | 435 | 194 | 6-2-95 |
| | 34 | 6,579,972 | 6-17-03 | Lechiner et al. | 530 | 388.26 | 9-9-99 |
| | 35 | RE37592 | 12-31-02 | Schweighoffer et al. | 536 | 23.1 | 8-15-00 |
| | 36 | 6,010,908 | 1-4-00 | Gruenert et al. | 435 | 463 | 6-7-95 |
| | 37 | 6,528,631 | 3-4-03 | Cook et al. | 536 | 23.1 | 6-16-98 |
| | 38 | 6,395,492 | 5-28-02 | Manoharan et al. | 435 | 6 | 8-7-00 |
| | 39 | 6,414,112 | 7-2-02 | Buchardt, et al. | 530 | 300 | 7-24-96 |
| | 40 | 6,413,949 | 7-2-02 | Kozak et al. | 514 | 143 | 6-7-95 |
| | 41 | 6,355,629 | 3-12-02 | Kozak et al. | 514 | 143 | 2-6-01 |
| | 42 | 6,406,855 | 6-18-02 | Patten et al. | 435 | 6 | 11-22-00 |
| | 43 | 6,399,861 | 6-4-02 | Anderson, et al. | 800 | 320.1 | 5-23-95 |

EXAMINER

DATE CONSIDERED

*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT

A circular black ink stamp from the Intellectual Property Office (IPO). The text "IPO" is at the top, "APR 07 2004" is in the center, and "PATENT & TRADEMARK OFFICE" is at the bottom. The date is stamped in a bold, sans-serif font.[illegible]

| EXAMINER INITIAL | OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.) |
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| EXAMINER | DATE CONSIDERED |
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